

# **Investor Relations Management System of EGing Photovoltaic**

## **Technology Co.,Ltd.**

**(April 2024 Revision)**

### **Chapter 1: General Provisions**

Article 1 To enhance corporate governance, standardize investor relations management, strengthen communication between the company and investors, and protect investors' rights, especially those of the public, this system is established in line with relevant laws, regulations, and the Company's Articles of Association.

Article 2 Investor relations management aims to deepen company-investor communication through shareholder right protection, information disclosure, interaction, and complaint handling. It seeks to enhance company governance and value, and achieve investor respect, reward and protection.

Article 3 The objectives of investor relations management are:

1. To foster a sound relationship between the company and investors for mutual understanding.
2. To develop a stable and high-quality investor base for long-term market support.
3. To cultivate a corporate culture of investor service and respect.
4. To balance overall company interests and shareholder wealth growth.
5. To boost information disclosure transparency and improve governance.

Article 4 The principles for investor relations management are:

1. Compliance: Adhere to laws, regulations, and industry standards.
2. Equity: Treat all investors fairly, especially small and medium investors.
3. Proactivity: Engage actively with investors and respond to their needs.
4. Integrity: Operate with honesty and credibility to maintain a healthy market environment.

Article 5 This system serves as a guideline for investor relations management. The company's board, controlling shareholders, and executives should attach importance to and support investor relations initiatives.

### **Chapter 2: Content and Methods of Investor Relations Management**

Article 6 The main contents of investor relations management include:

1. Company development strategy.
2. Mandatory information disclosure.
3. Company operation and management information.
4. Environmental, social, and governance (ESG) information.
5. Corporate culture.

6. Shareholder right exercise methods.
7. Investor complaint handling.
8. Company risks and challenges.
9. Other relevant company information.

Article 7 The company should communicate with investors through diverse channels and methods to ensure convenience and effectiveness.

Article 8 Information subject to legal disclosure must be published promptly on designated newspapers and websites. The company's designated newspapers are China Securities Journal, Shanghai Securities Journal, Securities Times, and Securities Daily. The designated website is the Shanghai Stock Exchange website.

Article 9 Information on other public media must not precede designated newspapers and websites. News releases or press conferences cannot replace official announcements. The company should distinguish between advertising and media reporting, avoiding paid influence on objective media coverage. It should monitor media reports and respond when necessary.

Article 10 The company's investor communication methods include:

1. Maintaining a website with an investor relations section to address investor inquiries.
2. Leveraging new media platforms for investor relations activities.
3. Operating a dedicated investor hotline and fax.
4. Conducting online exchange activities..
5. Arranging on-site visits and communications for investors and analysts, while preventing the disclosure of non-public material information.
6. Ensuring convenient shareholder meeting attendance.
7. Holding investor conferences as required by the CSRC and stock exchange, with the chairman or general manager generally in attendance.
8. Conducting roadshows and analyst meetings.
9. Establishing a communication mechanism for major matters with investors.

### **Chapter 3: Organization and Implementation of Investor Relations Management**

Article 11 The board secretary is responsible for investor relations management, with the securities department as the lead unit. Controlling shareholders and directors should support the board secretary.

Article 12 The securities department's duties include:

1. Drafting the investor relations management system.
2. Organizing investor communication activities.
3. Handling investor inquiries, complaints, and suggestions.
4. Managing investor relations channels and platforms.
5. Safeguarding shareholder rights.
6. Cooperating with investor protection institutions.

7. Analyzing investor data.
8. Conducting other investor relations activities.

Article 13 The company should establish an internal coordination mechanism and information collection system. The securities department should gather company information without disrupting operations or leaking trade secrets.

Article 14 Unauthorized company personnel must not speak on behalf of the company in investor relations activities.

Article 15 The company may engage professional investor relations agencies for assistance.

Article 16 The company, its controlling shareholders, actual controllers, directors, supervisors, senior executives, and staff must not engage in the following practices in investor relations activities:

1. Disclose non-public major event information.
2. Disclosing or releasing information containing misleading, false, or exaggerated content.
3. Selectively disclose information or omit material facts.
4. Predict or commit to stock price movements.
5. Speak without authorization.
6. Discriminate against or unfairly treat small investors.
7. Violate public order and good morals.
8. Engage in other illegal or regulatory violations.

Article 17 Investor relations personnel should:

1. Understand the company and industry.
2. Possess relevant legal and market knowledge.
3. Have good communication and coordination skills.
4. Maintain good character and professional ethics.

Article 18 The company should provide regular training for directors, supervisors, and executives on investor relations management and encourage attendance at relevant events.

Article 19 Investor relations activities should be recorded in detail and stored in an investor relations archive or database.

#### **Chapter 4: Final Provisions**

Article 20 Matters not covered in this system shall be governed by relevant laws, regulations, and the Company's Articles of Association.

Article 21 This system is established, amended, and interpreted by the company's board of directors.

Article 22 This system shall take effect upon board approval.